President’s Remarks by Michael Levi

Who says white-collar crime is not important? Prosecutors and regulators in the UK, US, and New York State are busy working out who does what and who will get the fines and accompanying headlines – and perhaps convictions - from the major rate fixing scandals centered in the UK. The US should be financially self-supporting from their ‘take’ from previous sanctions, but because of major financial cutbacks (and the need to pay compensation for previous mistakes), the Serious Fraud Office has had to go cap in hand to the UK Treasury to ask for money to pay for its investigation and prosecution costs (without explicit strings attached, of course, that would inhibit the prosecutor’s independence). Perhaps other criminals are on vacation, but as I gaze at the DoJ’s Criminal Division highlights while writing this in August 2014, I see only the following items as front news:

Thursday, August 7, 2014
Press Release
California Investment Manager Found Guilty After Trial for Leading $33 Million Fraud Scheme

Thursday, August 7, 2014
Press Release
Co-Owner of Atlanta-Based Medical Clinic Chain and Hospital CEO Plead Guilty to Illegal Pay-for-Patient Conspiracy

Thursday, August 7, 2014
Press Release
Former ConvergEx Global Markets Chief Executive Officer and Trader Indicted

Thursday, August 7, 2014
Press Release
U.S. Forfeits Over $480 Million Stolen by Former Nigerian Dictator in Largest Forfeiture Ever Obtained Through a Kleptocracy Action
Presidential Remarks (cont.)

Thursday, August 7, 2014
Press Release
**Disbarred Attorney Sentenced to Prison for Her Role in $28.3 Million Medicare Fraud Scheme**

Meanwhile, international banks have started to complain about the costs of regulation and - at least in private – the extortionate demands of the New York state financial regulators. Where will this end? What constitutes legitimacy in this system and to what extent does this depend on, or is even assisted by, criminal enforcement and jail time? Plenty of scope for our members to discuss.

Member Publications
Books

**Pharmaceuticals, Corporate Crime And Public Health** by Graham Dukes, John Braithwaite, and J. P. Moloney

John Braithwaite concluded after publishing *Corporate Crime in the Pharmaceutical Industry* in 1984 that corporate crime problems such as bribery of health ministers and fraud in the safety testing of drugs reduced in the following decade. This book concludes that if that improvement ever did occur, it has certainly been put in reverse in the twenty-first century. The book addresses the implications of this corporate crime surge for the kinds of control strategies needed to control white collar crime in the twenty-first century.

For a limited time, the publisher is offering a special price on this title (as well as some other titles by John Braithwaite) for members of the WCCRC. This special offer ends September 30, 2014.

**Pharmaceuticals, Corporate Crime And Public Health**
http://www.e-elgar.com/bookentry_main.lasso?id=15602
Hardback 978 1 78347 109 6 £95 @ 30% discount = £66.50; EBook version also available at a reduced price through Google 9781783471102 £22.14.

**Regulatory Capitalism**
http://www.e-elgar.com/bookentry_main.lasso?id=12541
2008 Hardback 978 1 84720 002 0 £75 @ 30% discount = £52.50; Paperback 978 1 84844 471 3 - £31 @ 30% discount = £21.70; EBook version also available at a reduced price through Google 9781848441262 £22.14.

**Regulating Aged Care (with Toni Makkai and Valerie Braithwaite)**
http://www.e-elgar.com/bookentry_main.lasso?id=12540
2007 Hardback 9781847200013 NOW £30; EBook version also available at a reduced price through Google 9781847206855 £22.14.
The Encyclopedia of Criminology and Criminal Justice, Jay Albanese (Editor-in-Chief)

The Encyclopedia of Criminology and Criminal Justice, Jay S. Albanese (Editor-in-Chief) has been published by Wiley. It is a 5-volume print, and on-line, publication with 540 entries on the essential topics of criminology and criminal justice. It combines breadth of coverage with the authority and international perspective of an experienced editorial team, creating a definitive reference resource for students, scholars, and professionals. No library should be without it! It offers wide-ranging coverage spanning 15 substantive areas within criminology and criminal justice, including criminal law, types of crime, juvenile justice, correlates of crime, crime theory, education and professionalism, history of crime, law enforcement, transnational crime, victimization, among others. It is uniquely organized to allow easy searching and cross referencing by keyword, topic, or alphabetical order. Visit www.encyclopediaofcriminology.com for further details.

“Anyone among us who can advance effective strategies for persuading this generation of students that there is still much of value to be gained from engaging with encyclopedias such as this one would be making a highly commendable contribution to our field.” (American Reference Books Annual, 1 June 2014).

Crimes of Globalization (New Directions in Critical Criminology) by Dawn L. Rothe and David O. Friedrichs

This book addresses immensely consequential crimes in the world today that, to date, have been almost wholly neglected by students of crime and criminal justice: crimes of globalization. This term refers to the hugely harmful consequences of the policies and practices of international financial institutions – principally in the global South. A case is made for characterizing these policies and practices specifically as crime. Although there is now a substantial criminological literature on transnational crimes, crimes of states and state-corporate crimes, crimes of globalization intersect with, but are not synonymous with, these crimes.

Identifying specific reasons why students of crime and criminal justice should have an interest in this topic, this text also identifies underlying assumptions, defines key terms, and situates crimes of globalization within the criminological enterprise. The authors also define crimes of globalization and review the literature to date on the topic; review the current forms of crimes of globalization; outline an integrated theory of crimes of globalization; and identify the challenges of controlling the international financial institutions that perpetrate crimes of globalization, including the role of an emerging Global Justice Movement.

http://www.routledge.com/books/details/9780415856300/
Member Publications (cont.)

Articles, Conference Papers, and Reports

Journal Articles


Member Publications (cont.)
Articles, Conference Papers, and Reports


Books/Book Chapters/Articles in Books


Member Publications (cont.)

Articles, Conference Papers, and Reports


Member Publications (cont.)

Articles, Conference Papers, and Reports

Other Publications and Presentations


Member News

Kristy Holtfreter Named Director of Graduate Programs

On June 1, 2014, Dr. Kristy Holtfreter took over as Director of Graduate Programs in the School of Criminology and Criminal Justice at Arizona State University. She is an Associate Professor with the School of Criminology and Criminal Justice at ASU. She is the author of more than thirty refereed articles and numerous reports to agencies of government and is a nationally recognized expert in the areas of female offending and victimization, financial crimes and criminological theory. In 2007, she was named one of the Rising Female Stars in the field of criminology. She is the recipient of the 2008 New Scholar Award from the American Society of Criminology Division on Women and Crime and the 2009 winner of the White-Collar Crime Research Consortium's Young Scholar Award.

Please join us in congratulating Kristy!

WCCRC Members Contribute to Special Issue of the Notre Dame Journal of Law, Ethics & Public Policy

An article by Dr. Cynthia Koller (and colleagues) was featured in a recent issue of the Notre Dame Journal of Law, Ethics & Public Policy. Koller’s article “When Moral Reasoning and Ethics Training Fail: Reducing White Collar Crime through the control of Opportunities for Deviance” uses Routine Activities Theory and subprime mortgage fraud to illuminate the viability of an environmental approach to explain, prevent and control white collar crime. It is concluded that when an industry or business can create barriers to offending, the ability of individuals to capitalize on potentially rewarding crime opportunities is decreased. This trumps efforts to “un-motivate” potential offenders with corporate training and programming, jargon and slogans, as motivated offenders will continually seek opportunities to take advantage of suitable targets in the absence of capable guardianship. Simply focusing on individuals while ignoring the structures, processes, and social systems that industries and organizations create will not improve fiscal accountability, ensure fiduciary duties are met or reduce occupational or organizational crime.

Also featured in this special issue is an article by Dr. Gerald Cliff and Christian Desilets titled “White Collar Crime: What It Is and Where It’s Going.” The article focuses on the broader concept of white collar crime--what it has traditionally been perceived to be and what it is becoming in the age of computers, the Internet, and rapidly advancing technology.

Member News (cont.)

Digital Storytelling: A New Way to Teach White Collar Crime
Professor Michael Benson, School of Criminal Justice, University of Cincinnati and Professor Kelly Pope, Chief Content Officer, Helios Digital Learning

This past spring, I teamed up with Helios Digital Learning to develop a digital story entitled House of Cards: Understanding Decision Making and Fraud (HOC). Helios Digital Learning uses the power of storytelling to help students learn about white-collar crime and strengthen their ethical decision making. Helios uses first person stories told by white collar felons to demonstrate the rationalizations that turn ordinary people into criminals. Helios conducts on-camera interviews with white-collar felons and transforms these interviews into digitized electronic cases or “e-cases”. I worked with the Helios production team to develop this e-case for my undergraduate class in white-collar crime. I wanted to try this new approach to teaching because it seemed to me to be an excellent way to take advantage of the interest that contemporary students have in digital media by presenting a “live” case.

The HOC e-case includes twenty-four minutes of video with criminological principles and analysis interspersed throughout the case but using storytelling as the foundation. I am hoping that storytelling will appeal to my students because it will bring to life the abstract concepts and theories that students receive through my lectures and assigned readings through exposure to a concrete real-world example.

HOC explores the story of a former entrepreneur from the mortgage industry, a man named Toby Groves, who found himself entangled in a multi-million dollar mortgage fraud case. Although his intentions were to save his business and to protect his clients, his failure to make the appropriate business decisions led him to spending several years in federal prison. The e-case incorporates video interviews so that students can see and hear an actual white-collar offender talking about his offense and its aftermath. Incorporating video interviews with the white-collar offender I think will make the case more appealing to students compared to a traditional paper and pencil case.

In addition to the interview material, the HOC e-case includes material on the history and structure of the home mortgage industry and explains how Mr. Groves’ business fit into the mortgage industry. This sets the context for the case. The offense committed by Mr. Groves is described and analyzed from the perspective of criminological theory. Because there are many different types of offenses that take place in the mortgage industry, the HOC e-case also includes an overview of the other types of offenses that occurred in the mortgage industry during the period in which Toby Groves was operating. Finally, the e-case includes a set of reflection questions, with answers, that instructors can use to assess how well their students have understood the material.

Students are provided an access code to the Helios Digital Learning course management system for a nominal fee of $8.99, which gives them access to the e-case for the duration of the course. Other cases involving white-collar offenders are also available from Helios and can be found in the fall 2014 course catalog available at http://www.heliosdigital.com/. In the interests of full disclosure, I note that I will earn a small royalty, similar to a book royalty, on each HOC e-case purchased.
Member News (cont.)

WCCRC Annual Awards

The WCCRC is now accepting applications for our annual awards given in the broad areas of white collar crime, economic crime, corporate crime, organizational crime and corruption. Four annual awards are anticipated: The Gil Geis Lifetime Achievement Award, The Young Career Award, The Student Paper Award and the Outstanding Publication Award (book or journal article).

Submission Procedures:
1. A letter of nomination.
2. Where relevant to the award, a copy of the paper(s) or book to be considered (electronic versions preferred).
3. Nominations will be reviewed by the appropriate committee, and winners must agree to make a short presentation at the annual meeting of the American Society of Criminology, where the awards will be made.

Please forward all submissions to:
Professor Michael Levi
Cardiff School of Social Sciences
Glamorgan Building
King Edward VII Avenue
Cardiff CF14 1LX
Wales, UK.
Levi@cardiff.ac.uk

New Members

Please help to welcome these new members into the WCCRC:

Stacey Bradley, University of South Australia
Ann Capella, Wayne State University
Jaqueline Drew, Griffith University
Terri Glisson, FBI
Hollis Kegg, John Jay College of Criminal Justice
Theresa Lee, University of California, Irvine
William Mackey, University of Cincinnati
Travis Meyers, Arizona State University
Mark Stockman, University of Cincinnati
New Member Spotlight

Stacey Bradley, University of South Australia
Stacey Bradley is a PhD Candidate/research Assistant/Lecturer/Tutor with the University of South Australia (located in Mount Gambier, South Australia). She is in the final stages of her PhD which aims to explore employee petty theft behaviour. Other research interests include employee behaviour, employee psychology and change management.

Jacqueline Drew, Griffith University
Dr Jacqueline Drew is a Lecturer in the School of Criminology and Criminal Justice, Griffith University, Brisbane, Australia. Jacqueline has worked as a practitioner and researcher across a number of Australian police agencies and academic institutions. Her research interests include white collar crime (particularly, investment fraud, corporate fraud and regulation) and leadership and performance management within policing. She has published her academic work on Ponzi schemes, financial literacy, fraud curriculum, carbon fraud, third party partnerships and human resource management in policing. Jacqueline is currently leading a large research project in collaboration with the Fraud and Cyber Crime Group, Queensland Police Service studying operational police responses to advance fee fraud victimisation.

Theresa Lee, University of California, Irvine
Theresa Lee is a recent graduate of UC Irvine in Psychology and Social Behavior. She has been a Research Assistant under Dr. Henry Pontell’s White-Collar Crime lab for about one academic year now. Her interest in the field of Criminology started to develop after taking an introductory course as a major requirement and Dr. Pontell’s courses including White-Collar Crime and Social Deviance. Her interest/ focus has shifted towards the issue of evolving inequalities in income, legal proceedings, punishments, etc... She wishes to pursue further studies and research in this field. She has been accepted into the MAS program (Master’s for Criminology, Law and Society at UC Irvine), and will be starting this coming fall.

Travis Meyers, Arizona State University
Travis J. Meyers is a doctoral student in the School of Criminology and Criminal Justice at Arizona State University (ASU). He received his M.S. in Criminology and Criminal Justice from Arizona State University (2013) and his B.S. in Sociology, with a minor in Criminal Justice, from the University of Wisconsin-La Crosse (2011). His master’s thesis involved the use of meta-analytic techniques to determine the relative and absolute stability of self-control. Travis has been involved with several research projects funded by the National Institute of Justice (NIJ) during his time at ASU: “Criminal Stigma, Race, Gender, and Employment: An Expanded Assessment of the Consequences of Imprisonment for Employment”, and “Unpacking the Prison Visitation Experience: How Social Relationships Impact Inmate Well-Being”. His current research interests include criminological theory, illicit drug use, white-collar crime, and offender reentry.

Mark Stockman, University of Cincinnati
Mark Stockman is an Associate Professor at the University of Cincinnati’s School of Information Technology. Building on past technical study of systems administration, server virtualization, and network security; his recent research interests center on applying traditional criminological/victimological theory to cybersecurity, investigating variation in organizational cybervictimization.
Calendar

American Society of Criminology
Annual Meeting
San Francisco, CA
San Francisco Marriott Marquis
November 19-22, 2014
http://www.asc41.com/

Academy of Criminal Justice Sciences Annual
Meeting
Orlando, FL
Caribe Royale All-Suite Hotel and Convention Center
March 3-7, 2015
http://www.acjs.org

Events

WCCRC Meeting at ASC

We are preparing for the upcoming WCCRC meeting to be held in conjunction with the 2014 American Society of Criminology meeting in San Francisco, CA. The meeting will be held Wednesday November 19th at 2:00pm at the San Francisco Marriott Marquis, Willow, B2 Level. The meeting will be listed under White Collar Crime Research Consortium. We will be handing out our annual awards. Hope to see you there!

Contact Us

Gerald Cliff       Research Director   gcliff@nw3c.org
Christian Desilets Research Attorney  cdesilets@nw3c.org
Elizabeth Walling Research Associate ewalling@nw3c.org
April Wall-Parker Curriculum Development awallparker@nw3c.org

5000 NASA Boulevard, Suite 2400, Fairmont, WV 26554
Phone: (877) 628-7674 toll free

This project was supported by Grant No. 2012-MU-BX-4004 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice. The National White Collar Crime Center (NW3C) is the copyright owner of this document. This information may not be used or reproduced in any form without express written permission of NW3C. NW3C™ and IC3® are trademarks of NW3C, Inc. and may not be used without written permission.

©2014. NW3C, Inc. d/b/a the National White Collar Crime Center. All rights reserved.